# Package 'DNAtools'

December 5, 2025

Type Package

Title Tools for Analysing Forensic Genetic DNA Data

Version 0.2-5

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Description Computationally efficient tools for comparing all pairs of profiles in a DNA database. The expectation and covariance of the summary statistic is implemented for fast computing. Routines for estimating proportions of close related individuals are available. The use of wildcards (also called F-designation) is implemented. Dedicated functions ease plotting the results. See Tvedebrink et al. (2012) <doi:10.1016/j.fsigen.2011.08.001>. Compute the distribution of the numbers of alleles in DNA mixtures. See Tvedebrink (2013) <doi:10.1016/j.fsigss.2013.10.142>.

**License** GPL (>= 2) | file LICENSE

**Depends** R (>= 3.3.0)

**Imports** Rsolnp (>= 1.16), multicool (>= 0.1-10), Rcpp (>= 1.1.0), RcppParallel (>= 5.1.11.1)

**LinkingTo** Rcpp (>= 1.1.0), RcppParallel (>= 5.1.11.1), RcppProgress (>= 0.4.2)

SystemRequirements GNU make

BugReports https://github.com/mikldk/DNAtools/issues

**NeedsCompilation** yes

**Encoding** UTF-8

RoxygenNote 7.3.3

Suggests testthat, testthis, knitr, rmarkdown

VignetteBuilder utils, knitr

Repository CRAN

**Date/Publication** 2025-12-05 09:00:02 UTC

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# Description

Computational efficient tools for comparing all pairs of profiles in a DNA database. The expectation and covariance of the summary statistic is implemented for fast computing. Routines for estimating proportions of close related individuals are available. The use of wildcards (also called F-designation) is implemented. Dedicated functions ease plotting the results.

#### **Details**

Package: **DNAtools** Type: Package Version: 0.1

Date: 2014-08-25 License: GPL (>= 2)

dbCompare: Compares make all n(n-1)/2 pairwise comparisons between profiles of a database with n DNA profiles. dbExpect: Computes the expected number of matching and partial matching loci for a given number of profiles in a database. dbVariance: Calculates the associated covariance matrix.

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## Author(s)

Torben Tvedebrink <tvede@math.aau.dk>, James Curran <j.curran@auckland.ac.nz> and Mikkel Meyer Andersen <mikl@math.aau.dk>.

#### References

Tvedebrink T, JM Curran, PS Eriksen, HS Mogensen and N Morling (2012). Analysis of matches and partial-matches in a Danish STR data set. Forensic Science International: Genetics, 6(3): 387-392.

Read the vignette: vigette('DNAtools')

## See Also

Useful links:

• Report bugs at https://github.com/mikldk/DNAtools/issues

## **Examples**

```
## Not run:
  data(dbExample)
  dbCompare(dbExample,hit=5,trace=TRUE)
## End(Not run)
```

dbCollapse

Collapse m/p output to vector

## **Description**

Collapse a m/p-matrix from dbCompare/dbExpect to a vector.

# Usage

```
dbCollapse(x)
```

## Arguments

Χ

Either a object of class 'dbcompare' (result from dbCompare) or 'matrix'.

## **Details**

Collapse a m/p-matrix from dbCompare/dbExpect to a vector with entry i being the sum of all entries from m/p-matrix satisfying 2\*m+p=i.

#### Value

A vector of length 2\*max(m)+1 with entries begin the sum of entries i in m/p-matrix satisfying i=2\*m+p.

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## Author(s)

Torben Tvedebrink

## **Examples**

```
## Not run:
data(dbExample)
res <- dbCompare(dbExample, hit=5, trace=TRUE)
dbCollapse(res) ## same as dbCompare(dbExample, hit=5, trace=TRUE, collapse=TRUE)
## End(Not run)</pre>
```

dbCompare

Compare DNA profiles

## Description

Compare DNA profiles

## Usage

```
dbCompare(
    x,
    profiles = NULL,
    hit = 7,
    trace = TRUE,
    vector = FALSE,
    collapse = FALSE,
    wildcard = FALSE,
    wildcard.effect = FALSE,
    wildcard.impose = FALSE,
    Rallele = FALSE,
    threads = 2
)
```

## Arguments

Χ

Database with DNA profiles. The database format is expected to be a data frame with each column containing an allelic number such that for each DNA marker there are two columns in the data frame. See data(dbExample) for an example of the format.

profiles

One or more profiles to be compared with all profiles in the database. Input is a vector, matrix or data frame of same length/width as a row in the database x. If profiles is non-null only one CPU will be used. In case threads>1 a warning will be given but computations performed using single core.

hit

The number of matching loci for further investigation

dbExample 5

trace Shows a progress bar

vector Logical. Whether the result should be returned as vector or a matrix. Note if

'collapse' is TRUE vector is ignored.

collapse Logical (default FALSE). If TRUE the (m,p)-matrix will be collapsed into a

(2\*m+p)-vector containing the total number of matching alleles.

wildcard Use the wildcard comparing.

wildcard.effect

Compare result of wildcard and no wildcard.

wildcard.impose

Force homozygouse profiles (aa) to have wildcard (aF).

Rallele Implementation of 'Rare allele'designation matching.

threads The number of threads to use for performing comparisons in parallel for in-

creased computation time. Use 0 for using the same number as the computer has CPU cores. NOTE: Only available on Linux and MacOS operating systems.

#### **Details**

Computes the distance between DNA profiles in terms of matching and partially-matching STR loci.

## Value

Returns a matrix with the number of pairs mathcing/partially-matching at (i,j)-loci.

#### Author(s)

James Curran and Torben Tvedebrink. The multicore/CPU implementation was provided by Mikkel Meyer Andersen.

## **Examples**

```
## Not run:
  data(dbExample)
  dbCompare(dbExample,hit=5,trace=TRUE)
## End(Not run)
```

dbExample

Simulated database with 1,000 individuals

## Description

Database containing 1,000 simulated DNA profiles typed on ten autosomal markers.

6 dbExpect

## **Format**

A data frame with each row being a DNA profile and each column a part of a genetic marker. Note that homozygote profiles has the same allelic value in the two columns associated to the same marker.

dbExpect

Expected value of cell counts in DNA database comparison

## **Description**

Computes the expected number of cell counts when comparing DNA profiles in a DNA database. For every pair of DNA profiles in a database the number of matching and partial matching loci is recorded. A match is declared if the two DNA profiles coincide for both alleles in a locus and a partial-match is recorded if only one allele is shared between the profiles. With a total of L loci the number of matching loci is 0,...,L and partial number of matches is 0,...,L-m, where m is the number of matching loci.

## Usage

```
dbExpect(
  probs,
  theta = 0,
  k = c(0, 0, 1),
  n = 1,
  r = 0,
 R = 0,
  round = FALSE,
  na = TRUE,
  vector = FALSE,
  collapse = FALSE,
 wildcard = FALSE,
  no.wildcard = NULL,
  rare.allele = FALSE,
  no.rare.allele = NULL
)
```

#### **Arguments**

probs	List of vectors with allele probabilities for each locus
theta	The coancestery coefficient
k	The vector of identical-by-descent probabilities, $k=(k2,k1,k0)$ , where for full-siblings $k=c(1,2,1)/4$ . The default is $k=c(0,0,1)$ refering to unrelated individuals.
n	Number of DNA profiles in the database
r	The probability assigned to the rare alleles (see rare allele matching). If a vector must be of same length as probs.

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R	The probability assigned to alleles shorter or longer than allelic ladder (see rare
	allele matching). If a vector must be of length 1 or 2, and if a list it must be same

length as probs.

round Whether or not the results should be rounded or not

na Whether or not the off-elements should be returned as 0 or NA

vector Whether or not the result should be returned as a matrix or vector. Note if

'collapse' is TRUE vector is ignored.

collapse Logical (default FALSE). If TRUE the (m,p)-matrix will be collapsed into a

(2\*m+p)-vector containing the total number of matching alleles.

wildcard Should wildcards be used?
no.wildcard Should 'w' wildcards be used?

rare.allele Should rare allele matching be used?

no.rare.allele Should 'r' rare allele loci be used?

#### **Details**

Computes the expected cell counts using a recursion formula. See Tvedebrink et al (2011) for details.

## Value

Returns a matrix (or vector, see above) of expected cell counts.

## Author(s)

James Curran and Torben Tvedebrink

## References

T Tvedebrink, PS Eriksen, J Curran, HS Mogensen, N Morling. 'Analysis of matches and partial-matches in Danish DNA reference profile database'. Forensic Science International: Genetics, 2011.

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#### **Description**

Simulates a DNA database given a set of allele probabilities and theta value. It is possible to have close relatives in the database simulated in pairs, such that within each pair the profiles are higher correlated due to close familial relationship, but between pairs of profiles the correlation is only modelled by theta.

## Usage

```
dbSimulate(probs, theta = 0, n = 1000, relatives = NULL)
```

## Arguments

probs List of allele probabilities, where each element in the list is a vector of allele

probabilities.

theta The coancestry coefficient

The number of profiles in the database

relatives A vector of length 4. Determining the number of PAIRS of profiles in the

database: (FULL-SIBLINGS, FIRST-COUSINS, PARENT-CHILD, AVUNCU-

LAR). They should obey that 2\*sum(relatives)<=n.

#### **Details**

Simulates a DNA database with a given number of DNA profiles (and possibly relatives) with a correlation between profiles governed by theta.

#### Value

A data frame where each row represents a DNA profile. The first column is a profile identifier (id) and the next 2\*L columns contains the simulated genotype for each of the L loci. L is determined by the length of the list 'probs' with allele probabilities

#### Author(s)

James Curran and Torben Tvedebrink

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dbVariance

Covariance matrix of cell counts in DNA database comparison

## Description

Computes the covariance matrix for the cell counts when comparing DNA profiles in a DNA database. For every pair of DNA profiles in a database the number of matching and partial matching loci is recorded. A match is declared if the two DNA profiles coincide for both alleles in a locus and a partial-match is recorded if only one allele is shared between the profiles. With a total of L loci the number of matching loci is 0,...,L and partial number of matches is 0,...,L-m, where m is the number of matching loci. The expression is given by:

latex

## Usage

```
dbVariance(probs, theta = 0, n = 1, collapse = FALSE)
```

## **Arguments**

probs	List of vectors with allele probabilities for each locus
theta	The coancestery coefficient. If a vector of different theta values are supplied a list of covariance matrices is returned. Note it is faster to give a vector of theta values as argument than calculating each matrix at the time.
n	Number of DNA profiles in the database. If n=1 is supplied a list of the components for computing the variance is returned. That is, the variance and two covariances on the right hand side of the equation above.
collapse	Logical, default FALSE. If TRUE the covariance matrix is collapsed such that it relates to (2*m+p)-vectors of total number of matching alleles rather than (m,p)-matrix.

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#### **Details**

Computes the covariance matrix of the cell counts using a recursion formula. See Tvedebrink et al (2011) for details.

## Value

Returns a covariance matrix for the cell counts.

## Author(s)

James Curran and Torben Tvedebrink

#### References

T Tvedebrink, PS Eriksen, J Curran, HS Mogensen, N Morling. 'Analysis of matches and partial-matches in Danish DNA reference profile database'. Forensic Science International: Genetics, 2011.

## **Examples**

```
## Not run:
## Simulate some allele frequencies:
freqs <- replicate(10, { g = rgamma(n=10,scale=4,shape=3); g/sum(g)}, simplify=FALSE)
## List of elements needed to compute the covariance matrix.
## Useful option when the covariance needs to be computed for varying
## database sizes but for identical theta-value.
comps <- dbVariance(freqs,theta=0,n=1)
## Covariance for a DB with 1000 DNA profiles
cov1000 <- dbVariance(freqs,theta=0,n=1000)
## The result is the same as:
comps1000 <- choose(1000,2)*comps$V1 + 6*choose(1000,3)*comps$V2 + 6*choose(1000,4)*comps$V3
## End(Not run)</pre>
```

estimatePD

Estimate the drop-out probability based on number of alleles

# **Description**

An inferior may to estimate the drop-out probability compared to using the peak heights from the electropherogram. However, to compare the performance with Gill et al. (2007) this implements a theoretical approach based on their line of arguments.

```
estimatePD(n0, m, pnoa = NULL, probs = NULL, theta = 0, locuswise = FALSE)
```

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## **Arguments**

n0	Vector of observed allele counts - same length as the number of loci

m The number of contributors

pnoa The vector of  $\P(N(m) = n)$  for n = 1, ..., 2Lm, where L is the number of

loci and m is the number of contributors OR

probs List of vectors with allele probabilities for each locus

theta The coancestery coefficient

locuswise Logical. Indicating whether computations should be done locuswise.

## **Details**

Computes the Pr(D) that maximises equation (10) in Tvedebrink (2014).

#### Value

Returns the MLE of Pr(D) based on equation (10) in Tvedebrink (2014)

#### Author(s)

Torben Tvedebrink

#### References

Gill, P., A. Kirkham, and J. Curran (2007). LoComatioN: A software tool for the analysis of low copy number DNA profiles. Forensic Science International 166(2-3): 128 - 138.

T. Tvedebrink (2014). 'On the exact distribution of the number of alleles in DNA mixtures', International Journal of Legal Medicine; 128(3):427–37. <a href="https://doi.org/10.1007/s00414-013-0951-3">https://doi.org/10.1007/s00414-013-0951-3</a>

# **Examples**

```
## Simulate some allele frequencies:
freqs <- simAlleleFreqs()
## Assume 15 alleles are observed in a 2-person DNA mixture with 10 loci:
estimatePD(n0 = 15, m = 2, probs = freqs)</pre>
```

freqEst

Simple allele frequency estimation

## **Description**

Estimates allele frequencies from a database with DNA profiles

```
freqEst(x)
```

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# **Arguments**

x A database of the form ['id','locus1 allele1','locus1 allele2',...,'locusN allele 1','locusN allele2'].

## **Details**

Computes the allele frequencies for a given database.

# Value

Returns a list of probability vectors - one vector for each locus.

## Author(s)

James Curran and Torben Tvedebrink

# **Examples**

```
data(dbExample)
freqEst(dbExample)
```

genRypeRec

Generates DNA profiles of n individuals.

# Description

These are formed as n/2 pairs for relatives with a IDB-vector given by k. I.e. the profiles are mutually unrelated between pairs.

## Usage

```
genRypeRec(x, t, k, n, print = FALSE)
```

# Arguments

Х	Allele probabilities
t	theta correction
k	Relatedness vector
n	Number of probles
print	Print information

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genTypeRec

Generates DNA profiles of n unrelated individuals for a locus

## **Description**

Generates DNA profiles of n unrelated individuals for a locus

## Usage

```
genTypeRec(x, t, n, z = rep(0, lx <- length(x)))
```

## **Arguments**

x Allele probabilities
 t theta correction
 n Number of probles
 z FIXME

optim.relatedness

Estimate theta and the fraction of comparisons between close relatives

## **Description**

Estimates the fraction of comparisons between pairs of close relatives while fitting the theta parameter minimising the object function. The function makes use of the R-package 'Rsolnp' which is an implementation of an solver for non-linear minimisation problems with parameter constraints.

```
optim.relatedness(
  obs,
  theta0 = 0,
  theta1 = 0.03,
  theta.tol = 10^{(-7)},
  theta.step = NULL,
  max.bisect = 15,
  probs,
  var.list = NULL,
  init.alpha = 10^c(-4, -6, -8, -10),
  init.keep = FALSE,
  objFunction = c("T2", "T1", "C3", "C2", "C1"),
  collapse = FALSE,
  trace = FALSE,
 solnp.ctrl = list(tol = 10^(-9), rho = 10, delta = min(init.alpha) * 0.01, trace =
    FALSE)
)
```

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## **Arguments**

obs	The matrix or vector of observed matches/partial-matches as returned by the dbCompare()-function
theta0	The left value of the interval in which a bisection-like search is performed for theta
theta1	Right value of interval (see theta0)
theta.tol	A stopping criterion for the search. If the search narrows within theta.tol the function terminates
theta.step	Default is NULL. If not a grid search will be performed on seq(from = theta0, to = theta1, by = theta.step)
max.bisect	The maximum number of bisectional iterations perform prior to termination
probs	List of vectors with allele probabilities for each locus
var.list	A named list of components for computing variances, see dbVariance. The names of the elements are the associated theta-values, and each component is a list of $(V1,V2,V3)$ - see dbVariance with $n=1$
init.alpha	Initial values for alpha, where the order is (First-cousins, Avuncular, Parent-child, Full-siblings). The value for Unrelated is computed as 1-sum(init.alpha)
init.keep	Whether the initial values should be used in successive steps for the current optimum should be used.
objFunction	Which of the five different object functions should be used to compare observed and expected
collapse	Not yet implemented
trace	Should iteration steps and other process indicators be printed
solnp.ctrl	See solnp for details

## **Details**

Computes the proportion of comparisons between close relatives in a database matching exercise for each theta value under investigation.

## Value

Returns a list of three components: value, solution and var.list. The first element, value, is a dataframe with the value of the objection function for each of the theta values investigated. Solution is the estimated alpha-vector where the objection function was minimised. Finally, var.list is a names list of components for computing variances. May be reused in later computations for increased speed in some iterations.

## Author(s)

James Curran and Torben Tvedebrink

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## References

T Tvedebrink, PS Eriksen, J Curran, HS Mogensen, N Morling. 'Analysis of matches and partial-matches in Danish DNA reference profile database'. Forensic Science International: Genetics, 2011.

## **Examples**

pContrib

Compute the posterior probabilities for P(m|n0) for a given prior P(m) and observed vector n0 of locus counts

## **Description**

where m ranges from 1 to  $m_{\rm max}$  and  $n_0$  is the observed locus counts.

# Usage

```
pContrib(n0, probs = NULL, m.prior = rep(1/m.max, m.max), m.max = 8, theta = 0)
```

## **Arguments**

n0	Vector of observed allele counts - same length as the number of loci.
probs	List of vectors with allele probabilities for each locus
m.prior	A vector with prior probabilities (summing to 1), where the length of m.prior determines the plausible range of m
m.max	Derived from the length of m.prior, and if m.prior=NULL a uniform prior is speficied by m.max: m.prior = rep(1/m.max,m.max).
theta	The coancestery coefficient

## **Details**

Computes a vector P(mln0) evaluated over the plausible range 1,...,m.max.

pContrib\_locus

## Value

Returns a vector P(mln0) for m=1,...,m.max

## Author(s)

Torben Tvedebrink, James Curran

## References

T. Tvedebrink (2014). 'On the exact distribution of the number of alleles in DNA mixtures', International Journal of Legal Medicine; 128(3):427–37. <a href="https://doi.org/10.1007/s00414-013-0951-3">https://doi.org/10.1007/s00414-013-0951-3</a>

## **Examples**

pContrib\_locus

Compute the posterior probabilities for  $Pr(m|n_0)$  for a given prior Pr(m).

# **Description**

Compute a matrix of posterior probabilties  $\Pr(m|n_0)$  where m ranges from 1 to  $m_{\max}$ , and  $n_0$  is  $0,\ldots,2m_{\max}$ . This is done by evaluating  $\Pr(m|n_0)=\Pr(n_0|m)\Pr(m)/\Pr(n)$ , where  $\Pr(n_0|m)$  is evaluated by pNoA.

```
pContrib_locus(
  prob = NULL,
  m.prior = NULL,
  m.max = 8,
  pnoa.locus = NULL,
  theta = 0
)
```

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## **Arguments**

prob	Vectors with allele probabilities for the specific locus
m.prior	A vector with prior probabilities (summing to 1), where the length of m.prior determines the plausible range of $m$
m.max	Derived from the length of m.prior, and if m.prior=NULL a uniform prior is speficied by m.max: m.prior = rep(1/m.max,m.max).
pnoa.locus	A named vector of locus specific probabilities $P(N(m) = n), n = 1, \dots, 2m$ .
theta	The coancestery coefficient

#### **Details**

Computes a matrix of  $Pr(m|n_0)$  values for a specific locus.

## Value

```
Returns a matrix [\Pr(m|n_0)] for m=1,\ldots,m.max and n_0=1,\ldots,2m.max.
```

## Author(s)

Torben Tvedebrink, James Curran

#### References

T. Tvedebrink (2014). 'On the exact distribution of the number of alleles in DNA mixtures', International Journal of Legal Medicine; 128(3):427–37. <a href="https://doi.org/10.1007/s00414-013-0951-3">https://doi.org/10.1007/s00414-013-0951-3</a>

## **Examples**

```
## Simulate some allele frequencies:
freqs <- simAlleleFreqs()

## Compute Pr(m|n0) for m = 1, ..., 5 and n0 = 1, ..., 10 for the first locus:
pContrib_locus(prob = freqs[[1]], m.max = 5)</pre>
```

plot.dbcompare

Plots the summary matrix

## **Description**

Plots the summary matrix with counts on y-axis and classification on x-axis.

```
## S3 method for class 'dbcompare'
plot(x, log = "y", las = 3, xlab = "Match/Partial", ylab = "Counts", ...)
```

plot.dbOptim

# Arguments

X	Summary matrix returned from dbcompare
log	Specifies whether log(Counts) should be plotted (default)
las	Direction of the labels on x-axis. Default is 3 which gives perpendicular labels
xlab	Axis label
ylab	Axis label
	Other plot options

## Value

A plot of the summary matrix. The counts are on log10 scale and the x-axis is labeled by appropriate matching/partially-matching levels.

## Author(s)

James Curran and Torben Tvedebrink

## See Also

dbCompare,print.dbcompare

# **Examples**

```
## Not run:
  data(dbExample)
  M = dbCompare(dbExample,hit=5)
  plot(M)
## End(Not run)
```

plot.dbOptim

Plots the fitted object function for estimated familial relationships in the database and theta.

# Description

Plots the minimised object function for included values of theta

```
## S3 method for class 'dbOptim'
plot(x, type = "1", ...)
```

Pnm\_all

# Arguments

X	Object returned by optim.relatedness
type	The type of plot character ('l'=line, 'p'=points,), see 'par' for more details
	Other plot options

## **Details**

Plots the object function

#### Value

A plot of the object function

## Author(s)

James Curran and Torben Tvedebrink

#### See Also

optim.relatedness

# **Examples**

Pnm\_all

The exact distribution of the number of alleles in a m-person DNA mixture

# Description

Computes the exact distribution of the number of alleles in a m-person DNA mixture typed with STR loci. For a m-person DNA mixture it is possible to observe  $1, \ldots, 2 \times m \times L$  alleles, where L is the total number of typed STR loci. The method allows incorporation of the subpopulation correction, the so-called  $\theta$ -correction, to adjust for shared ancestry. If needed, the locus-specific probabilities can be obtained using the locuswise argument.

20 Pnm\_all

## Usage

```
Pnm_all(m, theta, probs, locuswise = FALSE)
Pnm_locus(m, theta, alleleProbs)
```

## **Arguments**

m The number of contributorstheta The coancestery coefficient

probs List of vectors with allele probabilities for each locus

locuswise Logical. If TRUE the locus-wise probabilities will be returned. Otherwise, the

probability over all loci is returned.

alleleProbs Vectors with allele probabilities

#### **Details**

Computes the exact distribution of the number of alleles for a m-person DNA mixture.

## Value

Returns a vector of probabilities, or a matrix of locuswise probability vectors.

# Author(s)

Torben Tvedebrink, James Curran, Mikkel Andersen

## References

T. Tvedebrink (2014). 'On the exact distribution of the number of alleles in DNA mixtures', International Journal of Legal Medicine; 128(3):427–37. <a href="https://doi.org/10.1007/s00414-013-0951-3">https://doi.org/10.1007/s00414-013-0951-3</a>

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print.dbcompare

Prints the summary matrix

# Description

Prints the summary matrix and possible 'big hits'.

# Usage

```
## S3 method for class 'dbcompare'
print(x, ...)
```

# Arguments

x Summary matrix returned from dbcompare ... ...

# **Details**

Prints the summary matrix

## Value

Prints the summary matrix and data frame with 'big hits'

# Author(s)

James Curran and Torben Tvedebrink

## See Also

dbCompare,plot.dbcompare

```
## Not run:
  data(dbExample)
  M = dbCompare(dbExample,hit=5)
  M
## End(Not run)
```

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print.dbOptim

Prints the results from optim.relatedness()

## **Description**

Prints the evaluated functions for the object function, best estimate of alpha and possibly list of variances.

# Usage

```
## S3 method for class 'dbOptim'
print(x, var.list = FALSE, ...)
```

## **Arguments**

```
x Object returned by optim.relatedness()
var.list Logical. Whether the (long) list of variance components should be printed to the screen.
... ...
```

#### **Details**

Prints the summary details of the fit

# Value

A dataframe with [theta, value] and a vector of fitted alpha parameters

## Author(s)

James Curran and Torben Tvedebrink

#### See Also

optim.relatedness

simAlleleFreqs 23

```
## End(Not run)
```

simAlleleFreqs

Simulate Allele Frequencies

# Description

Simulate some allele frequencies using Dirichlet Random variables

## Usage

```
simAlleleFreqs(
  nLoci = 10,
  allelesPerLocus = rep(10, nLoci),
  shape = rep(3, nLoci)
)
```

# Arguments

```
nLoci L the number of loci in the multiplex allelesPerLocus the number of alleles per locus shape the shape parameter
```

#### Value

a list with elements locus . l where  $l=1,\ldots,L$ , each of which are vectors of length allelesPerLocus[1], consisting of allele frequencies for that locus

```
set.seed(123)
simAlleleFreqs()
```

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