# Package 'GBOP2'

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Type Package

Title Generalized Bayesian Optimal Phase II Design (G-BOP2)

Version 0.1.3

**Depends** R (>= 4.1.0)

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Description Provides functions for implementing the Generalized Bayesian Optimal Phase II (G-

BOP2) design using various Particle Swarm Optimization (PSO) algorithms, including:

- PSO-Default, based on Kennedy and Eber-

hart (1995) <doi:10.1109/ICNN.1995.488968>, ``Particle Swarm Optimization"; - PSO-

Quantum, based on Sun, Xu, and Feng (2004) <doi:10.1109/ICCIS.2004.1460396>, ``A Global Search Strategy of Quantum-Behaved Particle Swarm Optimization";

- PSO-Dexp, based on Stehlík et al. (2024) <doi:10.1016/j.asoc.2024.111913>, ``A Double Ex-

ponential Particle Swarm Optimization with Non-Uniform Variates as Stochastic Tun-

ing and Guaranteed Convergence to a Global Optimum with Sample Applications to Finding Op-

timal Exact Designs in Biostatistics";

- and PSO-GO.

**Imports** tidyr, R6, Rcpp, doParallel, foreach, dplyr, stats, globpso, parallel, utils, RcppArmadillo

Suggests knitr, rmarkdown, roxygen2, testthat (>= 3.0.0), R.rsp

LinkingTo Rcpp, RcppArmadillo, RcppEigen

Config/parallel false

Config/testthat/edition 3

VignetteBuilder R.rsp

License GPL-2

**Encoding** UTF-8

RoxygenNote 7.3.2

NeedsCompilation yes

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GBOP2\_maxP\_dualE PSOGO: Power maximizing design with dual boundaries

# Description

This function implements PSOGO to find a power maximizing design with dual boundaries.

design	fixed as "optimal", which can not be modified by user
nlooks	number of interim looks
p0	Null hypothesis response rate
p1	Alternative hypothesis response rate
err1	Type I error rate
nParallel	number of pso ensemble
minPower	power
totalPatients	total patients
Nmin_cohort1	minimum number of first cohort
Nmin_increase	minimum number of increase in each cohort
pso_method	"all" for using three distinct pso, otherwise indicate single pso method
seed	seed for pso
nSwarm	nSwarm for pso
maxIter	maxIter for pso

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

# Value

A list on design parameters and operating characteristics

### Examples

```
# init_cluster(2)
# GBOP2_maxP_dualE(
   nlooks = 1,
#
#
   p0 = 0.2,
   p1 = 0.4,
#
   err1 = 0.05,
#
   minPower = 0.8,
#
   totalPatients = 26,
#
   Nmin_cohort1 = 10,
#
#
   Nmin_increase = 5,
   pso_method = "default",
#
   nParallel = 3,
#
#
   seed = 1024,
   nSwarm = 64,
#
   maxIter = 200
#
#)
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_singleE() manually for real optimization.")
```

GBOP2\_maxP\_singleE PSOGO: Power maximizing design with single boundary for futility

# Description

This function implements PSOGO to find a power maximizing design with single boundary for futility.

#### Usage

```
GBOP2_maxP_singleE(
    nlooks = 1,
    p0 = 0.2,
    p1 = 0.4,
```

```
err1 = 0.05,
minPower = 0.8,
totalPatients = 5,
Nmin_cohort1 = 1,
Nmin_increase = 1,
pso_method = "default",
nParallel = 3,
seed = 1024,
nSwarm = 64,
maxIter = 200
)
```

# Arguments

nlooks	number of interim looks
p0	Null hypothesis response rate
p1	Alternative hypothesis response rate
err1	Type I error rate
minPower	power
totalPatients	total number of patients
Nmin_cohort1	minimum number of first cohort
Nmin_increase	minimum number of increase in each cohort
pso_method	"all" for using three distinct pso, otherwise indicate single pso method
nParallel	number of pso ensemble
seed	Random seed for reproducibility
nSwarm	nSwarm for pso
maxIter	maxIter for pso

# Details

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

#### Value

A list on design parameters and operating characteristics

# Examples

```
# init_cluster(2)
# GBOP2_maxP_singleE(
# nlooks = 1,
# p0 = 0.2,
# p1 = 0.4,
```

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```
err1 = 0.05,
#
#
   minPower = 0.8,
#
   totalPatients = 26,
# Nmin_cohort1 = 10,
# Nmin_increase = 5,
  pso_method = "default",
#
#
  nParallel = 3,
   seed = 1024,
#
#
   nSwarm = 64,
   maxIter = 200
#
#)
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_singleE() manually for real optimization.")
```

GBOP2_maxP_TE	PSOGO: Power maximizing design with efficacy and toxicity bound-
	aries

# Description

This function implements PSOGO to find a power maximizing design with efficacy and toxicity boundaries.

design	fixed as "optimal", cannot be modified by user
pso_method	method for single PSO, choose from "default", "quantum" or "dexp"
nlooks	number of interim looks
skip_efficacy	default is NULL, indicate skip efficacy as 1 and not skip as 0 in a vector
skip_toxicity	default is NULL, indicate skip toxicity as 1 and not skip as 0 in a vector $% \left( {{{\left( {{{{\bf{n}}}} \right)}_{i}}}_{i}} \right)$
totalPatients	number of total patients
Nmin_cohort1	maximum number of patients
Nmin_increase	minimum number of first cohort
p01	H0 for efficacy
p02	H0 for toxicity
p03	H0 for Eff and Tox
p11	H1 for efficacy
p12	H1 for toxicity
p13	H1 for Eff and Tox
err_eff	Type I error rate: Efficacious but toxic

err_tox	Type I error rate: Safe but futile
err_all	Type I error rate: Futile and toxic
power_eff	power: Efficacious but toxic
power_tox	power: Safe but futile
power_all	power: Futile and toxic
nSwarm	nSwarm in PSO
maxIter	maxIter in PSO
nParallel	number of PSO ensemble
seed	Random seed for reproducibility

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

# Value

A list on design parameters and operating characteristics

```
# init_cluster(2)
# GBOP2_maxP_TE(
# design = "optimal",
\# nlooks = 1,
# skip_efficacy = NULL,
# skip_toxicity = NULL,
# totalPatients = 50,
# Nmin_cohort1 = 10,
# Nmin_increase = 5,
# p01 = 0.15,
\# p02 = 0.16,
# p03 = 0.024,
# p11 = 0.4,
\# p12 = 0.08,
\# p13 = 0.032,
# err_eff = 1,
# err_tox = 1,
# err_all = 0.1,
# power_eff = 0.8,
# power_tox = 0.8,
# power_all = 0.8,
# nParallel = 3,
# seed = 5321,
# pso_method = "default",
\# nSwarm = 32,
# maxIter = 100)
```

```
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_singleE() manually for real optimization.")
```

GBOP2\_minSS\_dualE PSOGO: Optimal/Minimax design with dual boundaries

#### Description

This function implements PSOGO to find an optimal or minimax design with dual boundaries.

# Usage

```
GBOP2_minSS_dualE(
  design = "optimal",
  unified.u = unified.u,
 weight = 1,
  nlooks = 1,
  p0 = 0.2,
  p1 = 0.4,
  err1 = 0.05,
 minPower = 0.8,
 maxPatients = 5,
 Nmin_cohort1 = 1,
 Nmin_increase = 1,
  pso_method = "default",
 nParallel = 3,
  seed = 123,
 nSwarm = 64,
 maxIter = 200
)
```

design	choose from "optimal", "minimax", or "unified"
unified.u	specify when design = "unified", u in zero to one
weight	weight of sample size under null
nlooks	number of interim looks
p0	Null hypothesis response rate
p1	Alternative hypothesis response rate
err1	Type I error rate
minPower	power

maxPatients	maximum number of patients
Nmin_cohort1	minimum number of first cohort
Nmin_increase	minimum number of increase in each cohort
pso_method	"all" for using three distinct pso, otherwise indicate single pso method
nParallel	number of pso ensemble
seed	seed for pso
nSwarm	nSwarm for pso
maxIter	maxIter for pso

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

# Value

A list on design parameters and operating characteristics

```
# init_cluster(2)
# GBOP2_minSS_dualE(
    design = "optimal",
#
#
    unified.u = unified.u,
#
    nlooks = 1,
#
    p0 = 0.2,
    p1 = 0.4,
#
    err1 = 0.05,
#
    minPower = 0.8,
#
    weight = 1,
#
#
    maxPatients = 25,
#
    Nmin_cohort1 = 10,
#
    Nmin_increase = 5,
    pso_method = "default",
#
    nParallel = 3,
#
    seed = 123,
#
#
    nSwarm = 64,
#
    maxIter = 200
# )
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_dualE() manually for real optimization.")
```

GBOP2\_minSS\_singleE PSOGO: Optimal/Minimax design with single boundary for futility

# Description

This function implements PSOGO to find an optimal or minimax design with single boundary for futility.

# Usage

```
GBOP2_minSS_singleE(
 design = "optimal",
  unified.u = 1,
 weight = 1,
 nlooks = 2,
 p0 = 0.2,
  p1 = 0.4,
  err1 = 0.05,
 minPower = 0.8,
 maxPatients = 5,
 Nmin_cohort1 = 1,
 Nmin_increase = 1,
 pso_method = "default",
 nParallel = 3,
  seed = 456,
 nSwarm = 64,
 maxIter = 200
)
```

design	choose from "optimal", "minimax", or "unified"
unified.u	specify when design = "unified", u in zero to one
weight	weight of sample size under null
nlooks	number of interim looks
p0	Null hypothesis response rate
p1	Alternative hypothesis response rate
err1	Type I error rate
minPower	power
maxPatients	maximum number of patients
Nmin_cohort1	minimum number of first cohort
Nmin_increase	minimum number of increase in each cohort
pso_method	"all" for using three distinct pso, otherwise indicate single pso method

nParallel	number of pso ensemble
seed	Random seed for reproducibility
nSwarm	nSwarm for pso
maxIter	maxIter for pso

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

# Value

A list on design parameters and operating characteristics

# Examples

```
# init_cluster(2)
# GBOP2_minSS_singleE(
   design = "optimal",
#
#
    unified.u = 1,
    nlooks = 1,
#
#
    p0 = 0.2,
#
    p1 = 0.4,
    err1 = 0.05,
#
    minPower = 0.8,
#
    weight = 1,
#
    maxPatients = 25,
#
    Nmin_cohort1 = 10,
#
#
    Nmin_increase = 5,
    pso_method = "default",
#
    nParallel = 3,
#
#
    seed = 1024,
#
    nSwarm = 64,
#
    maxIter = 200
# )
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_singleE() manually for real optimization.")
```

GBOP2\_minSS\_TE

# Description

This function implements PSOGO to find an optimal or minimax design with efficacy and toxicity boundaries.

# Usage

```
GBOP2_minSS_TE(
  design = "optimal",
  unified.u = 1,
  nlooks = 1,
  skip_efficacy = NULL,
  skip_toxicity = NULL,
 maxPatients = 26,
 Nmin_cohort1 = 13,
 Nmin_increase = 13,
 p01 = 0.3,
 p02 = 0.4,
 p03 = 0.2,
 p11 = 0.6,
 p12 = 0.2,
 p13 = 0.15,
 err_eff = 0.1,
 err_tox = 0.1,
 err_all = 0.05,
 power_eff = 0.8,
 power_tox = 0.8,
 power_all = 0.8,
 pso_method = "all",
 nParallel = 3,
  seed = 1324,
 nSwarm = 32,
 maxIter = 100
)
```

design	choose from "optimal", "minimax", or "unified"
unified.u	specify when design = "unified", u in zero to one
nlooks	number of interim looks
skip_efficacy	default is NULL, indicate skip efficacy as 1 and not skip as 0 in a vector $% \left( {{{\left( {{{{{\bf{n}}}} \right)}}} \right)$
<pre>skip_toxicity</pre>	default is NULL, indicate skip toxicity as 1 and not skip as 0 in a vector $% \left( {{{\left( {{{{\bf{n}}}} \right)}_{i}}}_{i}} \right)$
maxPatients	maximum number of patients
Nmin_cohort1	minimum number of first cohort
Nmin_increase	minimum number of increase in each cohort
p01	H0 for efficacy
p02	H0 for toxicity

p03	H0 for Eff and Tox
p11	H1 for efficacy
p12	H1 for toxicity
p13	H1 for Eff and Tox
err_eff	Type I error rate: Efficacious but toxic
err_tox	Type I error rate: Safe but futile
err_all	Type I error rate: Futile and toxic
power_eff	power: Efficacious but toxic
power_tox	power: Safe but futile
power_all	power: Futile and toxic
pso_method	"all" for using three distinct pso, otherwise indicate single pso method
nParallel	number of pso ensemble
seed	Random seed for reproducibility
nSwarm	nSwarm in PSO
maxIter	maxIter in PSO

Parallel computing is only used when the user explicitly sets nCore > 1. No more than 2 cores should be used unless the user is aware and permits it. The function defaults to sequential execution. If multiple analyses are planned, consider using init\_cluster(nCore) and stop\_cluster() manually to control the backend.

# Value

A list on design parameters and operating characteristics

```
# init_cluster(2)
# GBOP2_minSS_TE(
#
   design = "optimal",
#
    unified.u = 1,
    nlooks = 1,
#
#
    skip_efficacy = NULL,
    skip_toxicity = NULL,
#
    maxPatients = 25,
#
    Nmin_cohort1 = 10,
#
    Nmin_increase = 5,
#
    p01 = 0.3,
#
    p02 = 0.4,
#
#
    p03 = 0.2,
#
    p11 = 0.6,
    p12 = 0.2,
#
#
    p13 = 0.15,
#
    err_eff = 0.1,
```

# get\_cluster

```
#
    err_tox = 0.1,
#
    err_{all} = 0.05,
#
    power_eff = 0.8,
#
    power_tox = 0.8,
#
    power_all = 0.8,
#
    pso_method = "default",
    nParallel = 3,
#
#
    seed = 5321,
    nSwarm = 64,
#
    maxIter = 100
#
# )
# stop_cluster() # Only if init_cluster() was used
#
message("Run GBOP2_minSS_singleE() manually for real optimization.")
```

get\_cluster

Get current cluster

# Description

Returns the current parallel cluster object, if initialized.

### Usage

get\_cluster()

# Value

A cluster object or NULL.

init\_cluster Initialize parallel cluster

#### Description

Creates and registers a parallel backend using the specified number of cores. Falls back to sequential execution if nCore  $\leq 1$ .

# Usage

init\_cluster(nCore = 2)

# Arguments

nCore Number of cores to use (default is 2).

stop\_cluster

# Description

Stops the currently running parallel cluster and reverts to sequential execution.

# Usage

stop\_cluster()

summary.gbop2 Summary function Summary function for gbop2 objects

# Description

Summary function Summary function for gbop2 objects

#### Usage

## S3 method for class 'gbop2'
summary(object, ...)

# Arguments

object	GBOP2_maxP_dualE GBOP2_maxP_singleE GBOP2_maxP_TE GBOP2_minSS_dualE
	GBOP2_minSS_singleE GBOP2_minSS_TE
	ignored arguments

## Value

A summary table

```
design <- GBOP2_minSS_singleE(
    design = "optimal",
    unified.u = 1,
    nlooks = 1,
    p0 = 0.2,
    p1 = 0.4,
    err1 = 0.05,
    minPower = 0.8,
    weight = 1,
    maxPatients = 25,
    Nmin_cohort1 = 10,</pre>
```

# summary.gbop2

```
Nmin_increase = 5,
pso_method = "default",
nParallel = 1,
seed = 1024,
nSwarm = 64,
maxIter = 200
)
```

summary(design)

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